



The Cayman Islands is the leading offshore jurisdiction for the establishment of investment funds.

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# Introduction

At Southern Management Services Ltd. (“SMS”), we pride ourselves on being at the forefront of corporate governance, providing both non-executive individual directors and corporate directors.

Our distinct value lies in the expertise of our team—all directors are senior attorneys specialising in the financial services sector, ensuring the highest standards of governance, regulatory compliance, and client-focused service.

We offer a wide array of specialised services for diverse offshore corporate and financial structures including:

- **Investment funds (private funds, hedge funds and mutual funds)**
- **Private equity funds**
- **Investment management companies**
- **Private investment companies**
- **Segregated Portfolio Companies (SPCs)**
- **Special purpose entities**
- **General partners for limited partnerships**
- **Mergers, acquisitions and disposals**
- **Redomiciliation and migration**
- **Asset holding vehicles**

SMS and its directors only act for a limited number of clients enabling a robust and responsive service consistent with established best practices in the offshore jurisdictions.

Our funds lawyers are leading experts in the field with over 35 collective years of experience in the investment funds industry.

## Key services include:

- **Comprehensive and Timely Document Review and Execution:** aiding the efficient and seamless operation of client companies.
- **Company Secretary Services:** Provision of expert corporate secretarial support to meet statutory and regulatory requirements.
- **Board Meeting Support:** Attendance and facilitation of regular board meetings, with detailed minutes and actionable insights.
- **Financial Oversight:** Review and approval of audited financial statements and other critical agreements.
- **Stakeholder Engagement:** Coordination with managers, service providers, and investors as required. SMS has strong, close working relationships with service providers, investment managers and legal counsel.
- **Regulatory Supervision:** Independent oversight of company operations, ensuring adherence to the Cayman Islands Monetary Authority’s regulatory obligations.
- **Responsiveness:** Directors are supported by a professional support team providing consistent, accessible and reliable customer service.

## Additional Services:

Through our affiliate entities, we also offer:

- **Board Support Services:** Enhanced governance solutions tailored to client needs.
- **Registered Office Services:** Offered through Stuarts Corporate Services Ltd.
- **Legal Services:** Comprehensive legal support, including restructuring, liquidations, and preparation of legal documents, through Stuarts Humphries Attorneys-at-Law.

The Cayman Islands remain a leading jurisdiction for tax neutral institutional transactions.



## Jurisdiction

### Strategic Location

The Cayman Islands is located in the Western Caribbean just 480 miles south of Miami and is widely recognised as a leading international financial hub with a sophisticated legislative framework, modern infrastructure, and a stable political and economic environment. Notably, it operates without a direct tax system, which contributes to its appeal for global business.

The jurisdiction is regulated by the Cayman Islands Monetary Authority (CIMA), ensuring compliance with anti-money laundering standards and providing a comprehensive regulatory framework for financial services. The Cayman Islands Stock Exchange (CSX) further bolsters the jurisdiction's appeal by offering diverse listing opportunities, including debt securities, mutual funds, and specialist products.

### Robust Legal Framework

The Cayman Islands legal system, based on British Common Law and Cayman Islands Statute, provides a stable and predictable framework. The final court of appeal is the Privy Council in London, a court made up of the most senior members of the British and Commonwealth Judiciary, ensuring adherence to internationally recognised legal principles.

# Management/Key Contacts



**Chris Humphries**

MANAGING DIRECTOR AND HEAD OF FUNDS

[Chris Humphries](#) is the Managing Director of Stuarts and is the head of the specialist investment funds team. He is a leading individual in his field and is recognised as one of the top lawyers in the Cayman Islands for investment funds by Legal 500. Chris has also been ranked as a Leading Lawyer in Fintech, Investment Funds and Corporate and Commercial by Chambers and Partners for many years and has earned recognition as a Highly Regarded lawyer by IFLR1000 in Investment Funds and Financial & Corporate & Finance practice areas.

With over 25 years experience, Chris has acquired extensive and unique knowledge in the investment funds industry and has registered close to 1,000 funds in the Cayman Islands. There are few attorneys with the breadth and depth of knowledge and expertise that Chris has acquired.

Chris is a highly experienced independent director and has sat on the board of many registered funds, private equity funds and investment vehicles. He routinely advises on regulatory and compliance issues involving funds, investment managers and fund administrators. He routinely advises on the strategic restructuring of funds, redemption restrictions as well as the use of side pockets or

special purpose vehicles for illiquid assets and investor communications and disputes.

Chris advises on the strategic restructuring of funds, the delay in redemption payments and other redemption restrictions as well as the use of side pockets or special purpose vehicles for illiquid assets and investor communications and disputes. Chris is an experienced independent director and sits on the board of several registered funds, private equity funds, and investment vehicles.

## Admissions

- Grand Court of the Cayman Islands
- Supreme Court of the British Virgin Islands (non-practising)
- Senior Courts of England and Wales (non-practising)

## Education

- College of Law
- University of London

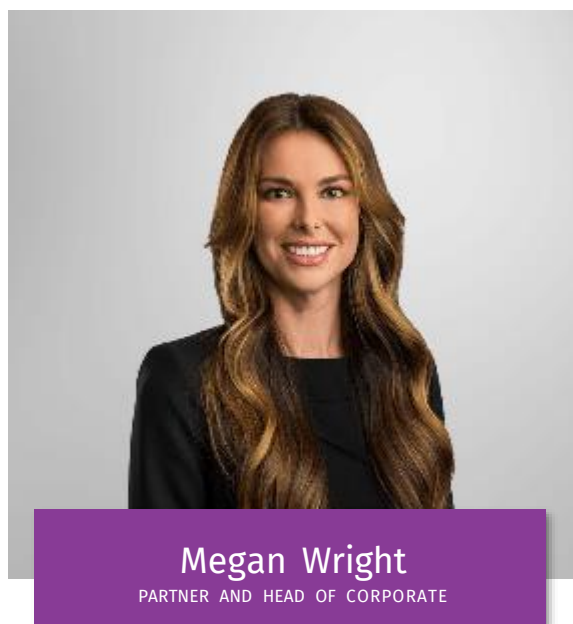
## Professional Associations

- The Cayman Islands Legal Practitioners Association
- Blockchain Legal Institute

## Recognition



## Management/Key Contacts



**Megan Wright**

PARTNER AND HEAD OF CORPORATE

Megan Wright is a Partner and Head of the Corporate Practice Group at Stuarts Humphries. Megan is also a member of the specialist Investment Funds and Fintech Practice Groups.

Megan advises clients on corporate and commercial transactions, banking, financing, licensing, funds, and Fintech legal, across a wide range of sectors including leading financial institutions, prominent US, European & South American banks, investment managers, Fortune 500 companies and several of the world's largest insurance companies, predominantly in connection with a wide range of cross border commercial arrangements and international corporate transactions. Megan has recently acted for the issuer on a number of Special Purpose Acquisition Company (SPAC) IPOs in the US and UK.

Megan routinely advises fund sponsors and other stakeholders on the structuring and formation of hedge and private equity funds; the day-to-day administration of funds from a Cayman Islands law perspective (including, for example, corporate governance and fiduciary duties, investor issues, matters pertaining to illiquid portfolios and portfolio transactions); the restructuring, wind-down and liquidation of funds; and the regulatory framework governing funds and their managers in the Cayman Islands. She advises on the use of companies,

partnerships, special purpose acquisition vehicles and unit trusts in the context of investment funds and advises on ongoing transactional and restructuring issues relating to offshore investment funds. Her clients include institutional fund sponsors, boutique investment managers and private equity houses. Megan sat on the Cayman Islands industry advisory working group for the economic substance legislation.

Megan is a member of the digital, blockchain and fintech team and advises funds focusing on blockchain technology and other virtual asset strategies.

### Admissions

- Grand Court of the Cayman Islands
- Senior Courts of England and Wales (non-practising)

### Education

- Bristol Institute of Legal Practice (Legal Practice Course)
- Bristol Institute of Legal Practice (Graduate Diploma in Law)
- Cardiff University, BSc (Hons) Business Management

### Professional Associations


- The Cayman Islands Legal Practitioners Association
- New York State Bar Association (NYSBA)
- Member of 100 Women in Finance
- GirlForce 100 Mentor

### Recognition



## Why choose Southern Management for your director services needs?

- **Strong Relationships:** We have strong working relationships with local service providers, investment managers and legal counsel.
- **World Class Responsiveness:** Our directors are supported by a strong professional admin team. In addition, we limit the number of funds and companies we serve, enabling us to provide world class responsiveness and be an involved and effective director to our clients.
- **Integrity and Professionalism:** We operate under a Companies Management Licence issued and regulated by the Cayman Islands Monetary Authority, ensuring we uphold the highest ethical standards in all engagements.
- **Experienced Tailored Solutions:** Our services are tailored to meet clients' specific needs. We understand how changes to the structure and operations of an offshore investment vehicle can impact investors and stakeholders and can offer sensible, experienced solutions.
- **Integrated Legal Support:** We offer seamless collaboration with our affiliate entities, Stuarts Humphries Attorneys-at-Law and Stuarts Corporate Services Ltd., ensuring all aspects of our clients' corporate governance needs are met.



## Meeting the growing demand for corporate governance excellence

As regulatory and investor expectations evolve, the need for robust and transparent corporate governance has never been greater. Directors must possess the expertise to navigate the complexities of offshore structures, aligning stakeholder interests and ensuring compliance with global standards.

Our experienced directors provide strategic oversight and ensure operational transparency, offering peace of mind to clients, investors, and regulators alike.

**Contact Us:** For more information about Southern Management's services, please email: [chris.humphries@stuartslaw.com](mailto:chris.humphries@stuartslaw.com)



## **SOUTHERN** MANAGEMENT SERVICES

**World Class Responsiveness**

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